

NOVARTIS

PATENT AND TRADEMARK DEPARTMENT

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FAX COVER SHEETDATE: December 2, 1998TIME: 2:10 (AM) (PM)TO: Ms. Rhonda Wallace

PHONE: ()

LOCATION: PTOFAX: (703) 305-3230

CC: _____

FROM: Mike MorrisPHONE: (908) 522-6937FAX: (908) 522-6955RE: S.N. 09/091,333 (Case No. PI/5-20691/A/PT)NUMBER OF PAGES (including cover sheet): 9**MESSAGE:**

Rhonda,

I attach a true copy of the
documents sent on 10/21/98, received
by the PTO on 10/26/98.

Regards,

Mike Morris

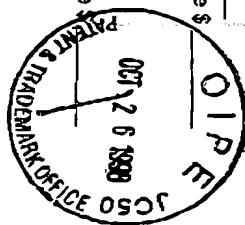
CONFIDENTIALITY NOTICE

THIS FAX CONTAINS CONFIDENTIAL INFORMATION WHICH MAY BE PRIVILEGED AND IS INTENDED SOLELY FOR THE USE OF THE ABOVE-NAMED RECIPIENT(S). IT MAY BE EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF YOU ARE NOT AN INTENDED RECIPIENT OR PERSON RESPONSIBLE FOR DELIVERY OF THIS FAX TO AN INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS FAX IN ERROR AND THAT ANY REVIEW, DISSEMINATION, DISCLOSURE, COPYING OR OTHER USE OF THIS FAX OR ITS CONTENTS IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS FAX IN ERROR, PLEASE NOTIFY THE SENDER IMMEDIATELY BY TELEPHONE AT ONE OF THE PHONE NUMBERS SET FORTH ABOVE AND DESTROY THIS FAX IMMEDIATELY.

Case No. P1/5-20691/4/PCT
 Application/Serial No. 09/091,333

Mailing Date: OCT 21 1998
 Due Date: Nov. 19, 1998

The Patent & Trademark Office acknowledges, and has stamped
 hereon the date of receipt of the items checked below:



- ☐ Amendment - Fee \$ _____
- ☐ Appeal Brief - Fee \$ _____
- ☐ Application Filing Papers - Fee \$ _____
- ☐ PCT national stage
- ☐ Provisional Application
- ☐ Assignment Recordation - Fee \$ _____
- ☐ Associate Power of Attorney
- ☐ Claim of Priority
- ☐ Certified Copies)
- ☒ Declaration and Power of Attorney *Signed*
- ☐ Declaration ☐ Rule 131 ☐ Rule 132
- ☐ Foreign Filing license request
- ☐ Formal Drawings
- ☐ Information Disclosure Statement - Fee \$ _____
- ☐ Issue Fee Transmittal - Fee \$ _____
- ☒ Letter/Response *Mailing Label #130*
- ☐ Notice of Appeal - Fee \$ _____
- ☐ Petition for _____ Fee \$ _____
- ☐ Petition for extension of time - Fee \$ _____
- ☐ Reply Brief OCT 30 1998
- ☐ Request for Oral Hearing - Fee \$ _____
- ☐ Request for Confirmation of Correction - Fee \$ _____

RECEIVED OCT 26 1998

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Therese Lilly
Type or print name

Therese Lilly
Signature

OCT 21 1998

Date

Form PTO-1350-MOD (REV 10-98)		U. S. Department of Commerce Patent and Trademark Office		ATTORNEY'S DOCKET NUMBER PI/5-20691/A/PCT	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/091,333	
INTERNATIONAL APPLICATION NO. EP/96/05564		INTERNATIONAL FILING DATE 12 December 1996 (12.12.96)		PRIORITY DATE CLAIMED 21 December 1995 (21.12.95)	
TITLE OF INVENTION PROCESS FOR THE PREPARATION OF 2-CHLORO-5-CHLOROMETHYL-THIAZOLE					
APPLICANT(S) FOR DO/EO/US O'SULLIVAN ET AL.					

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An executed Declaration and Power of Attorney (original) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
09/091.333INTERNATIONAL APPLICATION NO.
EP/96/05564ATTORNEY'S DOCKET NUMBER
PI/5-20691/A/PCT17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):

Search Report has been prepared by the EPO or JPO	\$930
International preliminary examination fee paid to USPTO (37 CFR 1.482)	\$720
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).	\$790
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.	\$1,070
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).	\$98

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$.

Surcharge of \$130 for furnishing the oath of declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	0	- 20 =	X \$ 22
Independent claims	0	- 3 =	X \$ 82
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 270

TOTAL OF ABOVE CALCULATIONS =

\$ 130

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$ 130

Processing fee of \$130 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 130

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property

\$

TOTAL FEES ENCLOSED =

\$ 130

Amount to be:	\$
refunded	
charged	\$

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No. 19-0134 in the amount of \$130 to cover the above fees. Two duplicate copies of this form are enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0134.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to the address associated with Customer No. 001095, which is currently:

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